

Self-lensing signatures to constrain the environment of binary mergers

Helena Ubach, Mark Gieles, Jordi Miralda-Escudé
helenaubach@icc.ub.edu



Institut de Ciències del Cosmos
UNIVERSITAT DE BARCELONA

arXiv:2505.04794



From which astrophysical environments can gravitational waves come? [I]

Gravitational waves (GWs) are produced in mergers of compact binary objects, such as black holes (BHs). Stellar-mass BH binaries can be formed in dense environments. However, the origin of observed gravitational-wave events remains elusive.
Can we use gravitational lensing by an object in the same environment (self-lensing) to distinguish the origin of a GW signal?

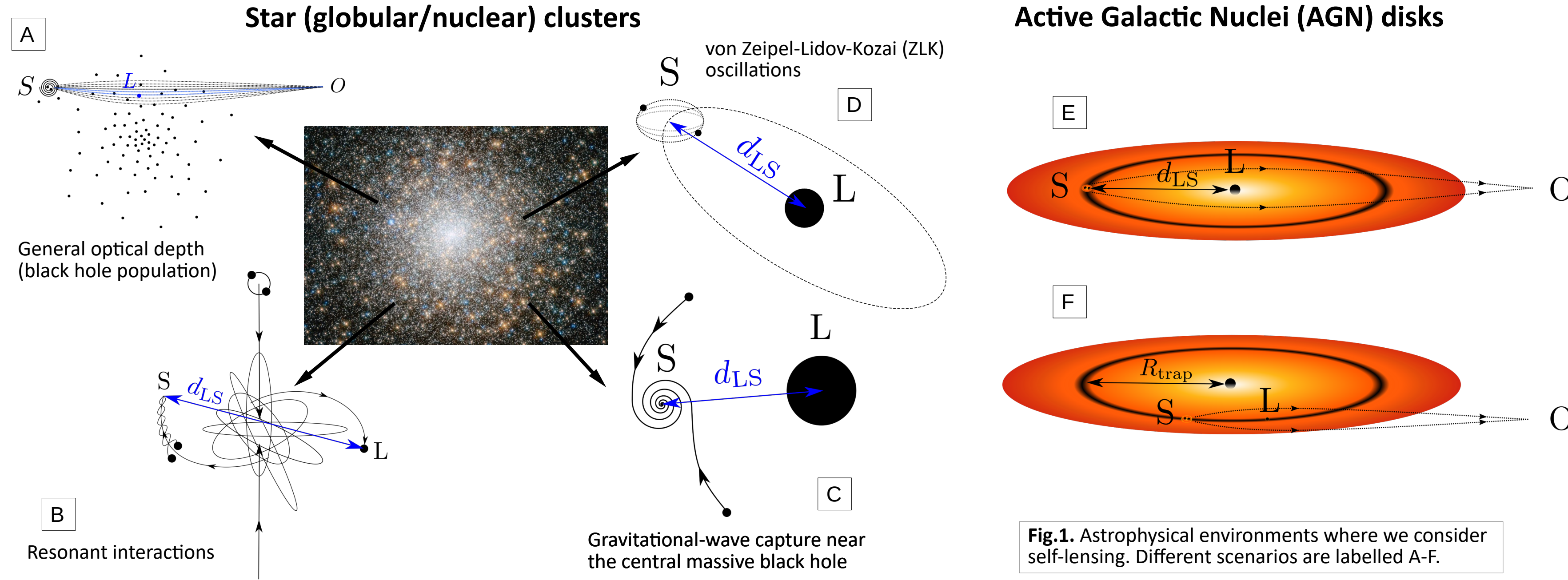


Fig.1. Astrophysical environments where we consider self-lensing. Different scenarios are labelled A-F.

Detectability of self-lensing on gravitational wave events [III]

Even when gravitational lensing is imprinted on a signal [IV], the effect needs to be significant enough to be detectable.

(a) Distorted waveform (diffraction, interference)

For a single distorted signal, we quantify the mismatch between a lensed and an unlensed template and the detectability given a signal-to-noise ratio (SNR).

$$\text{Detectable for } \text{Mismatch} \times \text{SNR}^2 > \ln B \sim (1 - 10)$$

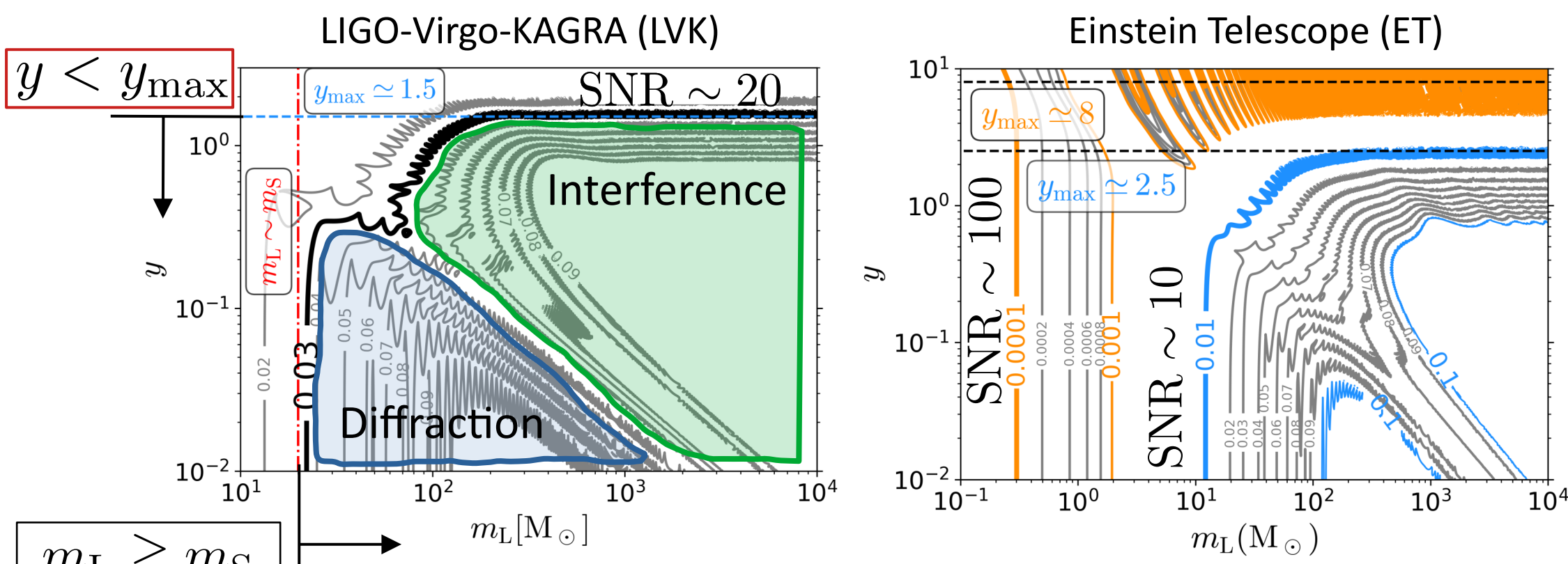


Fig.4. Mismatch (contour lines) between a lensed and an unlensed waveform. Black (left) and colored (right) contours delimit the threshold for detectability.

(b) Separate images (strong lensing)

For separate images, which will appear as separate signals, both need to be above the noise.

$$\text{SNR} > 8 \quad \text{for both images}$$

$$\left(\frac{\mu_+}{\mu_-} \right) = \left(\frac{\text{SNR}_L^+}{\text{SNR}_L^-} \right)^2 \rightarrow y_{\max}$$

$$\text{For LVK, } (\text{SNR}_L^+)^{\max} = 50, \quad y_{\max} \simeq 2.$$

$$\text{For ET, } (\text{SNR}_L^+)^{\max} = 1000, \quad y_{\max} \simeq 10.$$

Probability of self-lensing [II]

To determine how often is self-lensing expected to be seen in detections, we quantify the probability in the different astrophysical environments labelled in Fig.1.

Probability \simeq optical depth τ

$$\tau \propto \frac{v_{\text{orbit}}^2}{c^2} y_{\max}^2 \propto \frac{R_L}{d_{LS}} y_{\max}^2$$

$$R_L = \frac{2Gm_L}{c^2}$$

$$\tau \propto \frac{\sigma^2}{c^2} y_{\max}^2 \rightarrow \text{Given by detectability criteria}$$

The detectability of the lensing effect is quantified by y_{\max} , the maximum displacement of the source position with respect to perfect alignment, which we will determine in [III].

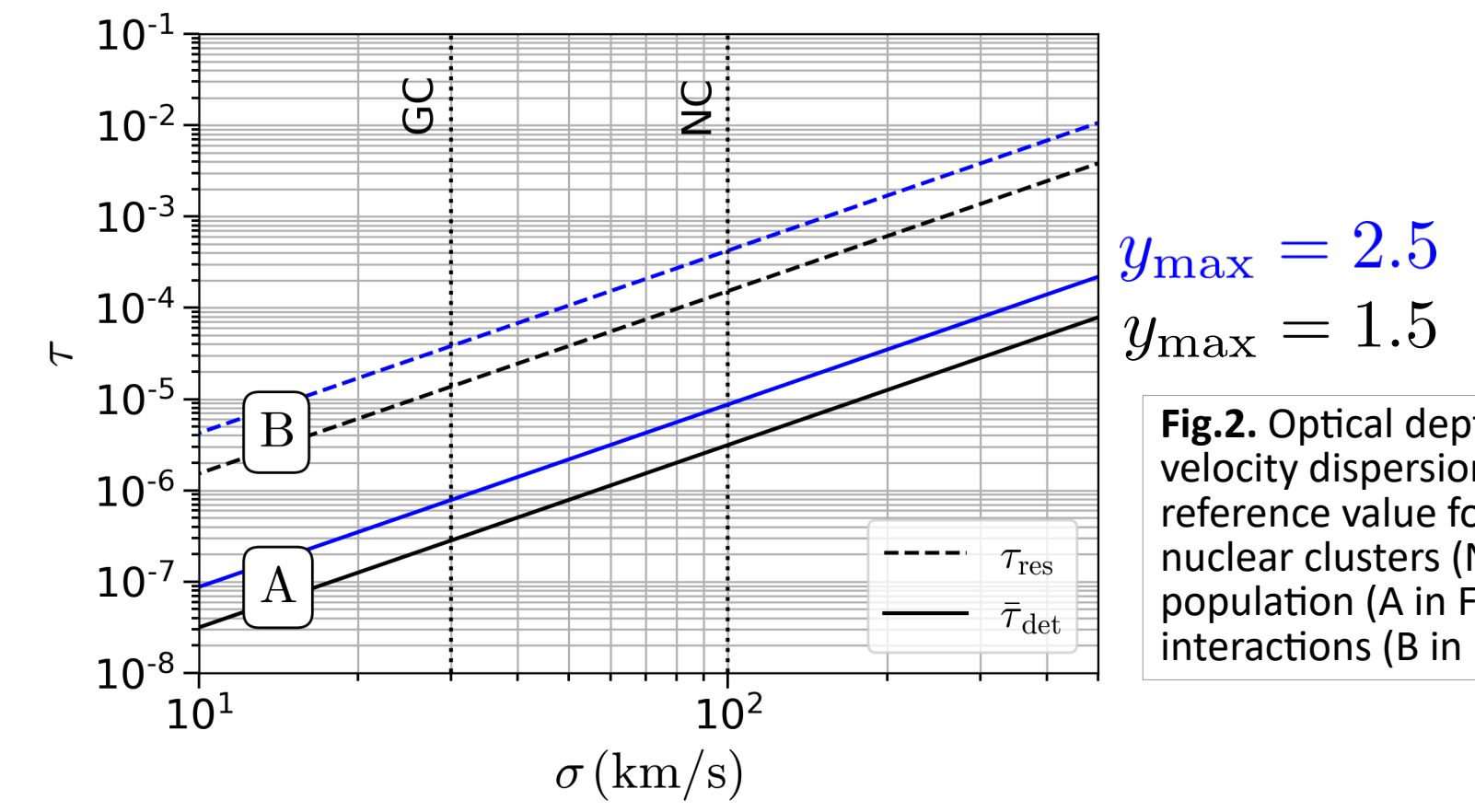


Fig.2. Optical depth as a function of the velocity dispersion of an environment, with a reference value for globular clusters (GC) and nuclear clusters (NC). For the general BH population (A in Fig.1.) and for resonant interactions (B in Fig.1.).

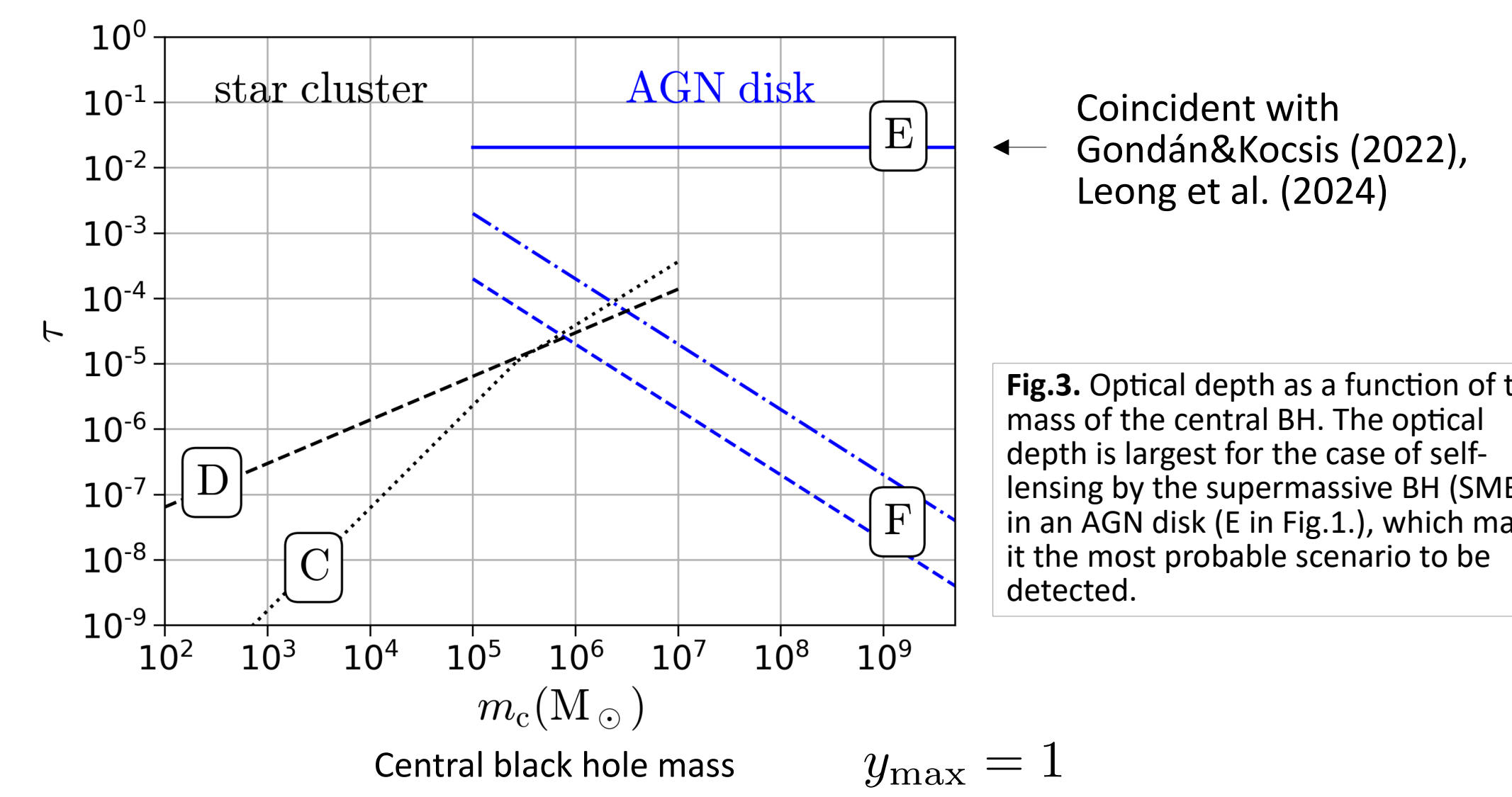
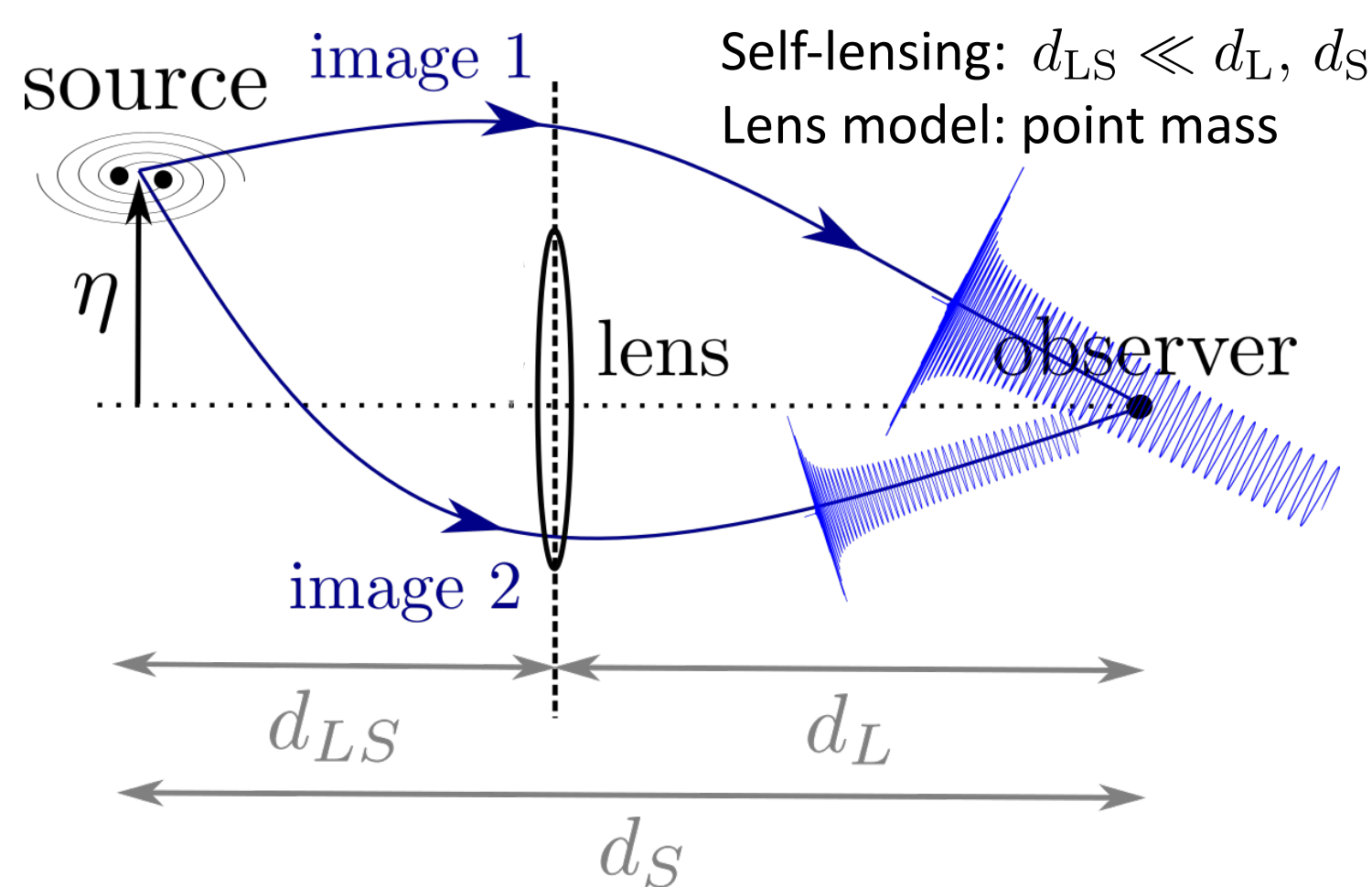


Fig.3. Optical depth as a function of the mass of the central BH. The optical depth is largest for the case of self-lensing by the supermassive BH (SMBH) in an AGN disk (E in Fig.1.), which makes it the most probable scenario to be detected.

Summary of the characteristic signatures

Source	Lens	Diffraction	Interference	Strong lensing	Eccentric waveform	h_+ polarization
Any	Foreground galaxy					
	Host galaxy's SMBH					
dynamical BH binary merger	SMBH in AGN disk	E			●	●
	IMBH in AGN disk	F			●	●
	stellar-mass object					
resonant BH binary merger	stellar-mass BH	B			●	
primordial BH binary merger	stellar-mass BH	A				
GW capture	central cluster's MBH	C			●	
		D				

Fig.5. Summary of the characteristic signatures we may see in a GW signal, including lensing imprints, eccentricity in the waveform and a preferential polarization. As we can see, every scenario has different combinations, which potentially enables us to distinguish some of them.



Lensing imprint [IV]

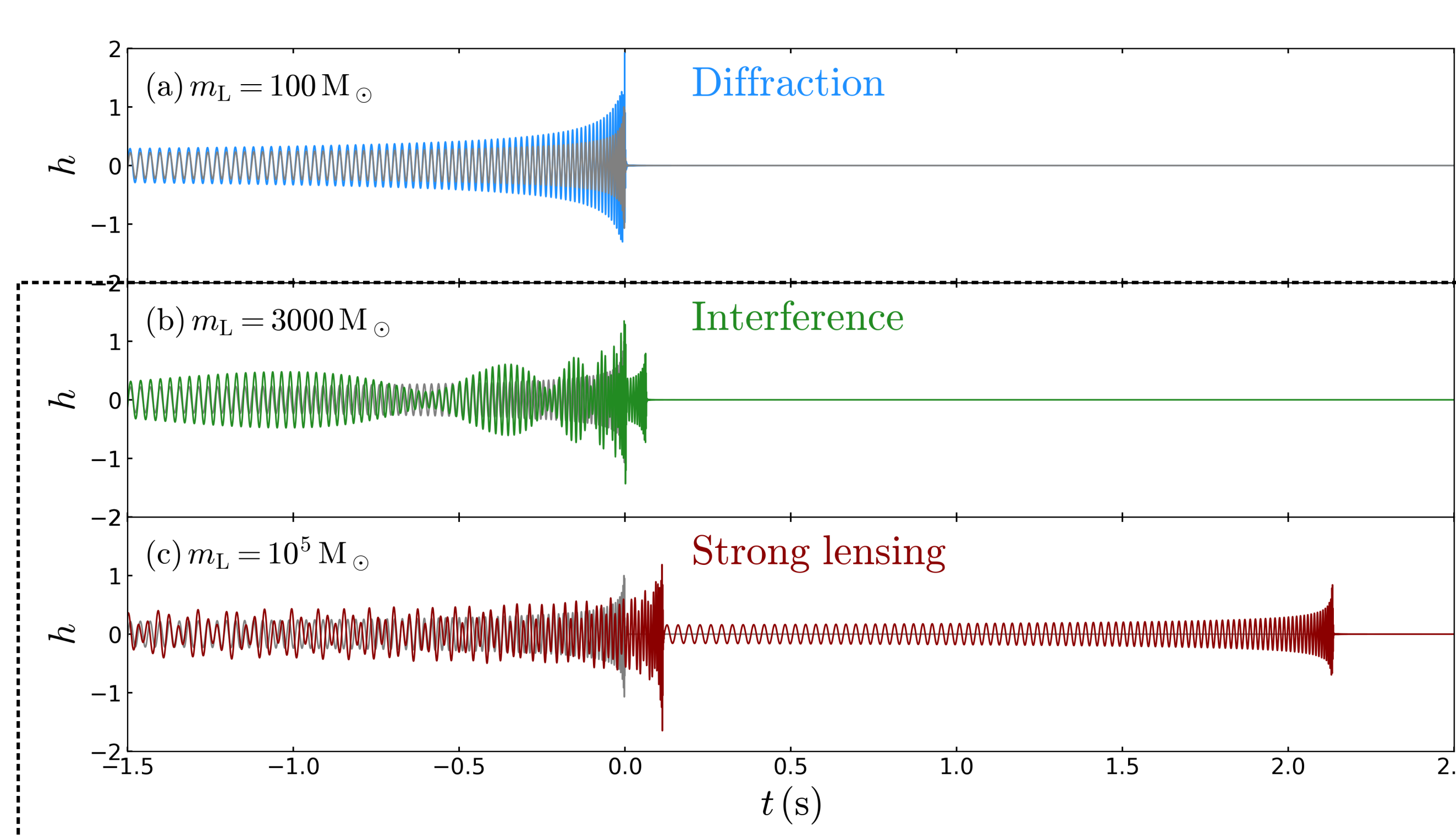


Fig.6. Strain (waveform) of the lensed gravitational wave signal as a function of time. The lensing imprint is different for different lens masses m_L . Here we take a fixed $y = 0.25$.

Combination with characteristic signatures

h_+ polarization in AGN disk:
due to the alignment of the binary orbital plane with the AGN disk, and the limitation of observing self-lensing only in edge-on disks

Eccentric signals:

- AGN disks: high eccentricity is expected
- Star clusters:
 - Most gravitational-wave captures are eccentric, while only a fraction of ZLK mergers are eccentric (detectable lensing cases)
 - Resonant interactions are expected to be eccentric (undetectable lensing case)

Conclusions

- Self-lensing by a stellar-mass BH is both unlikely and mostly undetectable** (diffraction)
- AGN disk self-lensing has the highest probability** ($\tau \simeq 2 \times 10^{-2}$) + **detectable lensing imprint** + characteristic feature: **h_+ polarization** \rightarrow could be distinguished from galaxy lensing and star cluster self-lensing
- Combining self-lensing with polarization and eccentricity can help us constrain the astrophysical environment of an individual GW event.